500.40010VX1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): K. OHNISHI et al

Serial No.: (New Divisional Application Under 37 CFR §1.53[b] of

U.S. Application Serial No. 09/829,969)

Filed: On Even Date Herewith

For: SEMICONDUCTOR DEVICE AND PROCESS FOR

PRODUCING THE SAME

Group: 2818

Examiner: M. TRAN

UNDER 37 CFR §1.97 & §1.98

MS: PATENT APPLICATIONS

Commissioner For Patents POB 1450 Alexandria, VA 22313-1450

March 31, 2004

Sir:

In the matter of the above-identified application, applicants are attaching hereto, in a form equivalent to Form PTO-1449, a listing of all the art documents of record (cited by the Examiner/submitted by applicants) in the chain of continuing applications (as listed on page 1 of the Specification), pursuant to the duty of disclosure/candor requirements.

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified divisional application and, therefore, this IDS meets the requirements pursuant to 37 CFR §1.97(b).

It is understood that since copies of the listed documents should be available in the file wrapper of the prior application, copies thereof are not included herewith, consistent with 37 CFR §1.98(d) and pursuant to the guidelines set forth in MPEP §609.

It is submitted this IDS is in compliance with the rules of practice as well as with USPTO guidelines. Therefore, applicants respectfully request that it be entered and duly considered by the USPTO. Also, acknowledgment of entry as well as of formal consideration thereof by the Examiner is respectfully requested.

If any costs are due in accordance with the filing of this Information Disclosure Statement, please charge same to the account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135 (500.40010VX1).

Respectfully submitted,
ANTONELLI, TERRY, STOUT & KRAUS, LLP

Registration No. 32,392

LNA/dks (703) 312-6600 of

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Sheet

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** R53(b) DIV. of S.N. 09/829,969 INFORMATION DISCLOSURE Filing Date March 31, 2004 STATEMENT BY APPLICANT K. OHNISHI First Named Inventor Art Unit 2818 **Examiner Name** M. TRAN (use as many sheets as necessary)

Attorney Docket Number

			U.S. PATENT	DOCUMENTS	_
Examiner Initials'	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,291.868	09/2001	Weimer et al	
		US-6.265.297	07/2001	Powell	
		US-6,306,743	10/23/2001	Lee	
		US-2002/0008294	01/24/2002	Hayashi et al	
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
Initials'		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY				
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Examiner	Date	
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	R53(b) DIV. of S.N. 09/829,969
			URE	Filing Date	March 31, 2004
			ANT	First Named Inventor	OHNISHI, K.
				Group Art Unit	2818
(use as many sheets as necessary)			y)	Examiner Name	M. TRAN
Sheet	2	of	2	Attorney Docket Number	500.40010VX1

		OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where publisher.	T ²
		OHNISHI, K., et al., "Improving Gate Oxide Integrity of a W/WNx/dual-poly Si Stacked-Gate by Using Wet-Hydrogen Oxidation in 0.14-μm CMOS Devices," IEDM Technical Digest, Pgs. 397-400, IEDM 98, USA.	
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